FEDERAL AVIATION AGENCY
Washington 25, D.C.

TECHNICAL STANDARD ORDER

Regulations of the Administrator

Part 514

Subject: AIRBORNE RADIO RECEIVING AND DIRECTION FINDING EQUIPMENT OPERATING WITHIN THE RADIO FREQUENCY RANGE OF 200 - 415 KILOCYCLES (FOR AIR CARRIER AIRCRAFT — TSO-C41b

Technical Standards Orders for Aircraft Materials, Parts and Appliances

Part 514 which contains minimum performance standards and specifications for materials, parts, and appliances used in aircraft consists of two subparts. Subpart A contains the general requirements applicable to all Technical Standard Orders. Subpart B contains the technical standards and specifications to which a particular product must conform.

ANY TECHNICAL STANDARD ORDER MAY BE OBTAINED BY SENDING A REQUEST TO FAA, WASHINGTON 25, D.C.

Subpart A—GENERAL

§ 514.0 Definition of terms.

As used in this part:

(a) "Administrator" means the Administrator of the Federal Aviation Agency or any person to whom he has delegated his authority in the matter concerned.

(b) "FAA" means Federal Aviation Agency.

(c) "Manufacturer" means a person who controls the design and quality of an article produced under the TSO system, including all parts thereof and processes and services related thereto obtained from outside sources.

(d) "Article" means the materials, parts, or appliances for which approval is required under the Civil Air Regulations for use on civil aircraft.

§ 514.1 Basis and purpose.

(a) *Basis.* Section 601 of the Federal Aviation Act of 1958, and §§ 3.18, 4a.31, 4b.18, 5.18, 6.18, 7.18, 10.21, 13.18, and 14.18 of this title (Civil Air Regulations).

(b) *Purpose.* (1) This part prescribes in individual Technical Standard Orders the minimum performance and quality control standards for FAA approval of specified articles used on civil aircraft,[1] and prescribes the methods by which the manufacturer of such articles shall show compliance with such standards in order to obtain authorization for the use of the articles on civil aircraft.

(2) The performance standards set forth in the individual Technical Standard Orders are those standards found necessary by the Administrator to assure that the particular article when used on civil aircraft will operate satisfactorily, or accomplish satisfactorily its intended purpose under specified conditions.

§ 514.2 TSO authorization.

(a) *Privileges.* No person shall identify an article with a TSO marking unless he holds a TSO authorization and the article meets the applicable TSO standards prescribed in this part.

(b) *Letters of acceptance issued prior to July 1, 1962.* An FAA letter of acceptance of a statement of conformance issued for an article prior to July 1, 1962, is an authorization within the meaning of this part and the holder thereof may continue to manufacture such article without obtaining an additional TSO authorization, but shall comply with the requirements of § 514.3 through § 514.10.

(c) *Application.* The manufacturer or his duly authorized representative shall submit an application for a TSO authorization together with the following documents (See Appendix A of this subpart for sample application) to the Chief, Engineering and Manufacturing Branch, Flight Standards Division, in the region in which the manufacturer is located.[2]

(1) A statement of conformance certifying that the applicant has complied with the provisions of Subpart A and the article meets the applicable performance standards established in Subpart B of this part (See Appendix B of this subpart for sample statement of conformance);

(2) Copies of the technical data required in the performance standards set forth in Subpart B of this part for the particular article;

(3) A description of his quality control system in the detail specified in § 1.36 of this title (Civil Air Regulations). In complying with this provision the manufacturer may refer to current quality control data filed with the Agency, as a part of a previous application.

NOTE: When a series of minor changes in accordance with § 514.5 is anticipated, the manufacturer may set forth in his application the basic model numbered article with open brackets after it to denote that suffix change letters will be added from time-to-time e.g., Model No. 100 ( ).

(d) *Issuance.* (1) Upon receipt of the application and adequate supporting documents specified in paragraph (c) of this section to substantiate the manufacturer's statement of conformance with the requirements of this part and his ability to produce duplicate articles in accordance with the provisions of this part, the applicant will be given an authorization to identify his article with the applicable TSO marking.

(2) If the application is deficient in respect to any requirements, the applicant shall, upon request by the Chief, Engineering and Manufacturing Branch, submit such additional information as may be necessary to show compliance with such requirements. Upon the failure of the applicant to submit such additional information within 30 days after the date of the request therefor, his application will be denied and he will be so notified by the Chief, Engineering and Manufacturing Branch.

NOTE: The applicant will be issued an authorization or notified of the denial of his application within 30 days after the date of receipt of such application or, in the event that additional information has been requested, within 30 days after the date of receipt of such additional information.

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[1] Articles may also be approved and manufactured for use on civil aircraft as a part of the type design of a type certificate for an aircraft engine or propeller.

[2] Regional Offices are located at New York, Atlanta, Kansas City, Fort Worth, Los Angeles, Anchorage.

§ 514.3 Conditions on authorizations.

The manufacturer of an article under an authorization issued under the provisions of this part shall—

(a) Manufacture such article in accordance with the requirements of Subpart A and the performance standards contained in the applicable TSO of Subpart B of this part;

(b) Conduct the required tests and inspections, and establish and maintain a quality control system adequate to assure that such article, as manufactured, meets the requirements of paragraph (a) of this section and is in a condition for safe operation;

(c) Prepare and maintain for each type or model of such article a current file of complete technical data and records in accordance with § 514.6; and

(d) Permanently and legibly mark each such article with the following information:

(1) Name and address of the manufacturer,

(2) Equipment name, or type or model designation,

(3) Weight to the nearest tenth of a pound,

(4) Serial number and/or date of manufacturer, and

(5) Applicable Technical Standard Order (TSO) number.

§ 514.4 Deviations.

Approval for a deviation from the performance standards established in Subpart B may be obtained only if the standard or standards for which deviation is requested are compensated for by factors or design features which provide an equivalent level of safety. A request for such approval together with the pertinent data shall be submitted by the manufacturer to the Chief, Engineering and Manufacturing Branch of the Region in which the applicant is located.

§ 514.5 Design changes.

(a) *By Manufacturer*—(1) *Minor changes.* The manufacturer of an article under an authorization issued pursuant to the provisions of this part may make minor design changes to the article without further approval by the FAA. In such case the changed article shall retain the original model number and the manufacturer shall forward to the Chief, Engineering and Manufacturing Branch such revised data as may be necessary for compliance with § 514.2(c).

(2) *Major changes.* If the changes to the article are so extensive as to require a substantially complete investigation to determine compliance with the performance standards established in Subpart B, the manufacturer shall assign a new type or model designation to the article and submit a new application in accordance with the provisions of § 514.2(c).

(b) *By persons other than the manufacturer.* Design changes to an article by a person other than the manufacturer who submitted the statement of conformance for such article are not eligible for approval under this part, unless such person is a manufacturer as defined in § 514.0 and applies for authorization under § 514.2(c).

NOTE: Persons other than a manufacturer may obtain approval for design changes to a product manufactured under a TSO pursuant to the provisions of Part 18 or the applicable airworthiness regulations.

§ 514.6 Retention of data and records.

(a) A manufacturer holding an authorization issued pursuant to the provisions of this part shall, for all articles manufactured under such authorization on and after July 1, 1962, maintain and keep at his factory:

(1) A complete and current technical data file for each type or model of article which shall include the design drawings and specifications. This technical data shall be retained for the duration of his operation under the provisions of this part.

(2) Complete and current inspection records to show that all inspections and tests required to ensure compliance with this part have been properly accomplished and documented. These records shall be retained for at least two years.

(b) The data specified in paragraph (a)(1) of this section shall be identified and copies transferred to the FAA for record purposes in the event the manufacturer terminates his business or no longer operates under the provisions of this part.

§ 514.7 Inspection and examination of data, articles or manufacturing facilities.

The manufacturer shall, upon request, permit an authorized representative of the FAA to inspect any article manufactured pursuant to this part, and to observe the quality control inspections and tests and examine the manufacturing facilities and technical data files for such article.

§ 514.8 Service difficulties.

Whenever the investigation of an accident or a service difficulty report shows an unsafe feature or characteristic caused by a defect in design or manufacture of an article, the manufacturer shall upon the request of the Chief, Engineering and Manufacturing Branch, report the results of his investigation and the action, if any, taken or proposed by him to correct the defect in design or manufacture (e.g., service bulletin, design changes, etc.). If the defect requires a design change or other action to correct the unsafe feature or characteristic, the manufacturer shall submit to the Chief, Engineering and Manufacturing Branch, the data necessary for the issuance of an airworthiness directive containing the appropriate corrective action.

§ 514.9 Noncompliance.

Whenever the Administrator finds that a manufacturer holding an authorization issued pursuant to the provisions of this part has identified an article by a TSO marking and that such article does not meet the applicable performance standards of this part, the Administrator may, upon notice thereof to the manufacturer, withdraw the manufacturer's authorization and, where necessary, prohibit any further certification or operation of a civil aircraft upon which such article is installed until appropriate corrective action is taken.

§ 514.10 Transferability and duration.

An authorization issued pursuant to the provisions of this part shall not be transferred and is effective until surrendered, or withdrawn, or otherwise terminated by the Administrator.

APPENDIX A

SAMPLE APPLICATION FOR TSO AUTHORIZATION

_____
(Date)

(Addressed to: Chief, Engineering and Manufacturing Branch, Federal Aviation Agency, Region.)

Application is hereby made for authorization to use the Technical Standard Order procedures.

Enclosed is a statement of conformance for the article to be produced under TSO–C_____.

The required quality control data [1] are transmitted: (herewith) (under separate cover).

Signed _____

APPENDIX B

SAMPLE STATEMENT OF CONFORMANCE

_____
(Date)

(Addressed to: Chief, Engineering and Manufacturing Branch, Flight Standards Division, Federal Aviation Agency.)

The undersigned hereby certifies that the article listed below by model, type or part number has been tested and meets the performance standards of Technical Standard Order C_____. In addition, all other applicable provisions of Part 514 of the Regulations of the Administrator have been met.

The technical data required by the TSO in the quantity specified are transmitted: (herewith) (under separate cover).

Authorization to use TSO identification on this article is requested.

Signed _____

[1] Reference may be made to data already on file with the FAA.

§ 514.39 Airborne radio receiving and direction finding equipment operating within the radio frequency range of 200 - 415 kilocycles (for air carrier aircraft) - TSO-C41b--(a) Applicability - (1) Minimum performance standards. Minimum performance standards are hereby established for airborne radio receiving and direction finding equipment operating within the radio frequency range of 200 - 415 kilocycles which is to be used on civil aircraft of the United States engaged in air carrier operations. New models of airborne radio receiving and direction finding equipment manufactured for use on civil air carrier aircraft on or after the effective date of this section shall meet the standards as set forth in Radio Technical Commission for Aeronautics Papers 158-61/DO-111[1/] dated August 10, 1961, and 120-61/DO-108[1/] dated July 13, 1961. Exceptions to these standards are listed in subparagraph (2) of this paragraph.

(2) Exception. Radio Technical Commission for Aeronautics Paper 120-61/DO-108 outlines various test procedures which define the environmental extremes over which the equipment shall be designed to operate. Some test procedures have categories established and some do not. Where categories are established only equipment which qualifies under the following categories as specified in RTCA Paper 120-61/DO-108, is eligible under this order:

(i) Temperature-Altitude Test - Categories A, B, C, or D.

(ii) Humidity Test - Categories A or B.

(iii) Vibration Test - Categories A, B, C, D, E, or F.

(iv) Audio-Frequency Magnetic Field Susceptibility Test - Categories A or B.

(v) Radio-Frequency Susceptibility Test - Category A.

(vi) Emission of Spurious Radio-Frequency Energy Test - Category A.

(b) Marking. (1) In addition to the marking requirements of § 514.3(d), the equipment shall be marked to indicate the environmental extremes over which it has been designed to operate. There are seven environmental test procedures outlined in RTCA Paper 120-61/DO-108 which have categories established. These should be identified on the nameplate by the words "environmental categories" or, as abbreviated, "Env. Cat." followed by seven letters which identify the categories designated in RTCA Paper 120-61/DO-108. Reading from left to right, the category designations should appear on the nameplate in the following order, so that they may be readily identified:

(i) Temperature-Altitude Test Category;

(ii) Humidity Test Category;

(iii) Vibration Test Category;

(iv) Audio-Frequency Magnetic Field Susceptibility Test Category;

1/Copies of these papers may be obtained from the RTCA Secretariat, Room 1072, T-5 Building, 16th & Constitution Avenue, N. W., Washington 25, D. C., Paper 158-61/DO-111, 60 cents per copy; Paper 120-61/DO-108 75 cents per copy.

(v) Radio-Frequency Susceptibility Test Category;

(vi) Emission of Spurious Radio-Frequency Energy Test Category; and

(vii) Explosion Test.

(2) Equipment which meets the explosion test requirement shall be identified by the letter "E". Equipment which does not meet the explosion test requirement shall be identified by the letter "X". A typical nameplate identification would be as follows: Env. Cat. DABAAAX.

(3)(i) Two classes of equipment are specified as follows:

(a) Class A - For equipment intended for operation in the European-Mediterranean area (EUM) and in other areas where the frequency and geographical separation of ground facilities and their output powers are similar to those in the (EUM) area.

(b) Class B - For equipment intended for operation in the United States and in other areas where the frequency and geographical separation of ground facilities and their output powers are similar to those in the United States area.

(ii) The class of the equipment shall be identified on the nameplate. Equipment which complies with both Class A and Class B requirements need only be marked as Class A equipment. A typical nameplate identification would be as follows: Env. Cat. DABAAAX Class A.

(4) In some cases, such as under the Temperature-Altitude Test Category, a manufacturer may elect to qualify his equipment under two categories. In this case, the nameplate shall be marked with both categories in the space designated for that category by placing one letter above the other in the following manner: Env. Cat. $\frac{A}{D}$ABAAAX Class A.

(5) Each major component of equipment (antenna, power supply, etc.) shall be identified with at least the manufacturer's name, TSO number, and the environmental categories over which the equipment component is designed to operate.

(c) Data requirements. In accordance with the provisions of § 514.2, the manufacturer shall furnish to the Chief, Engineering and Manufacturing Branch, Flight Standards Division, Federal Aviation Agency, in the region in which the manufacturer is located, the following technical data:

(1) Six copies of the manufacturer's operating instructions and equipment limitations;

(2) Six copies of the installation procedures with applicable schematic drawings, wiring diagrams, and specifications (indicate any limitations, restrictions, or other conditions pertinent to installation); and

(3) One copy of the manufacturer's test report.

(d) Effective date. March 18, 1963.

(1/3/63)

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